

	<p style="text-align: center;">Directorate General of Shipping, Mumbai Ministry of Shipping, Govt. of India</p>	<p style="text-align: center;">IS/ISO Clause No.7.1</p>
<p>Authorized by the Chief Examiner of Master mates and Engineers.</p>	<p>Subject: Issuance of Certificate of Endorsement (COE) to Indian citizens in possession of Certificate of Competency (COC), issued under STCW Regulation II/2 and III/2, from Australia, Singapore, Ireland and New Zealand, in addition to UK to sail on Indian flag vessels.</p> <p style="text-align: center;">Instructions to Examiners- Circular No. 04 of 2019</p>	<p>File No: ENG/EXAM/CI RC-25(1)/2017 Dated:- 02.12.2019</p>

1. Introduction

1.1. In the year 2014, the Directorate received representations from various stakeholders including INSA to allow engagement of Indians, holding certificate of competency (COC) issued under STCW Regulation II/2 and III/2 from Australia, Singapore, Ireland and New Zealand, in addition to UK, to sail on Indian Flag vessels as a measure to address inadequacy in the availability of management level officers to man Indian Flag vessels.

1.2. After due deliberation, the Director General of Shipping in his/her powers under Section 456 of MS Act, 1958 had partially relaxed Section 86(1) (a) and allowed issuance of COE to Indian Nationals holding Australia, Singapore, Ireland and New Zealand COC to sail on India Flag vessels in addition to UK COC holders on fulfillment of certain specific conditions such as deployment of one Nautical Cadet or one Engineering Cadet/Trainee Marine Engineer/Junior Engineer during each period of such deployment.

1.3. In view of above relaxation, the Directorate had issued following circulars apart from DGS order 2 of 2015 & DGS order 1 of 2012 (meant only for Indians holding UK COC):

1.3.1. NT/ENG Circular No. 02 of 2014 dated 27.05.2014.

1.3.2. Engineering Circular No. 04 of 2014 dated 23.04.2014.

1.3.3. Engineering Circular No. 04A of 2014 dated 02.06.2014.

1.3.4. Corrigendum to NT/ENG Circular No. 02 of 2014 dated 04.08.2014.

1.4. The above relaxation was valid until 31 December 2016.

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1.5. In 2017, INSA and other stakeholders vide their representation to the Director General of Shipping requested extension to the aforesaid relaxation for a further three-year period citing continuous shortage of management level officers to man Indian Flag vessels. Noting the shortage of management level officers to man Indian Flag vessels and after due deliberations, the Director General of Shipping extended issuance of Certificate of Endorsement till 31.12.2019, vide NT/ENG Circular No. 3 of 2017 dated 12.10.2017.

1.6. To consolidate all the requirements, following circulars were superseded by NT/ENG Circular No. 3 of 2017:

- (i) All circulars and DGS Order mentioned in paragraph 1.3.
- (ii) Corrigendum No. 2 to NT/ENG Circular No. 02 of 2014 dated 10.02.2016.
- (iii) Training circular No. 7 of 2002 dated 30 July 2002.
- (iv) Training circular No. 57 dated 21 January 2004.
- (v) Training circular No. 90 of dated 2 June 2005.
- (vi) EAC Branch Circular No. 90 dated 2 June 2005.
- (vii) NT/ENG Circular 14 dated 30.08.2013

1.7. Now again INSA vide its letter no. CEO/036/2019/(S-19) dated 5 July 2019 has stated continued difficulties being faced by its members to operate specialized vessels such as LPG, LNG, VLCC & fully Cellular ships due to shortage in availability of experienced management level officers of the ranks of Master/Chief Engineer and Chief Officer/Second Engineer.

1.8. The Director General of shipping in his powers under Section 456 of MS Act, 1958 has now partially relaxed Section 86(1) (a) for a further period of two years enabling Indian Nationals holding COC from Australia, Singapore, Ireland, New Zealand and UK to continue to sail on India Flag vessels till 31 December 2021 on fulfillment of certain specific conditions such as deployment of one Nautical Cadet or one Engineering Cadet/Trainee Marine Engineer/Junior Engineer during each period of such deployment of above COE holder.

1.9. This circular hereby supersedes NT/ENG Circular No. 3 of 2017 and all other circulars, which have been superseded, vide NT/ENG Circular 3 of 2017.

2. Applicability: This circular is applicable to:

2.1 Management level officers (Master, Chief Engineer, Chief Officer and Second Engineer) holding COC issued under STCW Regulation II/2 or Regulation III/2

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from Australia, Ireland, Malaysia, New Zealand, Singapore and UK and are willing to work on Indian Flag vessels and

2.2 Operational level officers such as Fourth Engineer/Third Engineer and Second Officer/Third officer holding COC issued under STCW Regulation III/1 and Regulation II/1 respectively from any country mentioned in Paragraph 2.1 above who had been issued COE prior to 27th April 2014 by the Indian Administration.

3 Requirements

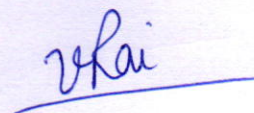
3.1 COE under Regulation I/10 of STCW 78 as amended may be considered subject to fulfillment of the following:

3.1.1 The prospective employer to submit a declaration that the authenticity of the COC held by the Officer to be employed has been verified and a copy of print out of internet verification duly certified by the employer representative to be attached with the application form.

3.1.2 Indian citizens holding management level certificates under STCW 78 as amended, issued by the Maritime authorities of countries stated in Paragraph 2.1 above may either undergo training of three days in Indian Maritime legislation Course conducted by LBS, CAMSAR or successfully pass an oral examination conducted at various Mercantile Marine Department for the purpose of COE under Regulation I/10 of STCW-78, as amended. The syllabus for the said course and oral examination is attached as an Annexure-I with this circular. In case, the seafarer opts for the said Indian Maritime Legislation training course, a copy of the course completion certificate to be attached with the application form for obtaining COE.

3.2 The issuance of COE to holders of COC from Maritime Nations stated in paragraph 2.1 above shall also be subjected to the following conditions:

3.2.1 Deployment of such COE holders on any Indian ship shall be done in conjunction with the deployment of one Nautical cadet pursuing a B.Sc.(Nautical)/DNS(Diploma in Nautical Science leading to B.Sc. in Nautical Science) for each COE issued to a Nautical officer and/or one trainee Marine Engineer pursuing the G.M.E. (Graduate in Marine Engineering)/D.M.E. (Diploma in Marine Engineering)/ATS (Alternate Training scheme)/Four-years Bachelor Degree in Marine Engineering, for



each COE issued to an Engineer Officer and each time the COE holder is deployed.

3.2.2 The deployment of the trainees mentioned under paragraph 3.2.1 shall be over and above the Tonnage Tax commitment of the concerned company. An undertaking from the prospective employer indicating the following to be submitted along with the application form:

- (i) Name of the trainee to be deployed and his/her CDC number.
- (ii) Name and IMO number of the vessel on which he/she is contemplated to be deployed.

3.3 Ship owners have the option to arrange provision of such training on another Indian ship or a ship of the company's subsidiary abroad.

3.4 The Master and/or the Chief Engineer of the ship are required to supervise the training imparted to these trainees as per the prescribed training modules in the approved Training Record {TAR} books. In case the officer to be employed is joining as Master/Chief Engineer, an undertaking stating his/her responsibility to train all the trainees sailing on board the vessel, he/she is contemplated to join, to be taken and submitted along with the application for issuance of COE.

3.5 Since the COE will be issued valid for two years, it is incumbent upon the employer to ensure compliance with above provisions, each time the COE holder is engaged onboard their ship, failing which the DOC issued to the company, is liable to be withdrawn.

4 Procedure for issuance of COE and its revalidation

- 4.1. Application for COE along with self-attested copies of the declarations/certificates/documents mentioned in paragraph 3 (Requirements) above to be submitted at any Mercantile Marine Department. Self-attested copies of all pages of valid COC including any restriction imposed on the COC, valid Medical Certificate, the required valid STCW course certificates and a copy of valid Indian CDC are to be attached.
- 4.2. Payment of prescribed fees.
- 4.3. After a satisfactory review of above submissions, the Principal Officer of concerned Mercantile Marine Department may issue "Acknowledgement of Application "using the format attached as Annexure-II with this circular.

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This Acknowledgement will remain valid for three months from the date of issue and may be used to place the officer on board an Indian Flag vessel by the prospective employer.

- 4.4. The concerned Mercantile Marine Department would then forward the application form along-with copies of all declarations/certificates/documents received and acknowledgement and recommendation to the Directorate General of Shipping for the issuance of COE for a maximum period of two years or until 31 December 2021 whichever is earlier.
- 4.5. The Principal Officers of the MMDs may endorse the COE {already issued by the Director General of shipping} for revalidation after ensuring that all requirements mentioned in this circular are complied with. No COE shall be re-validated to a date beyond 31 December 2021 and copy of revalidated COE to be forwarded to the Directorate General of Shipping for updating record.
- 4.6. Shipping Companies can apply for extension of existing COE {issued under NT/ENGG Circular 03 of 2017} which have been issued till 31 December 2019 or for a period of less than two years from date of issue of Acknowledgement of Receipt. The concerned Mercantile Marine Departments may extend the validity for two years from date of issue of Acknowledgement of Receipt {Subject to an undertaking from prospective employer that the seafarer will be joining their ship}. Where the seafarer is sailing on board, the Company can apply to Directorate {by submitting an application, photocopies of COE & Acknowledgement of Receipt} for issuance of a new COE valid for 2-years from date of issue of Acknowledgement of Receipt or until 31.12.2021, whichever is earlier. Shipping companies are responsible for submission of old original COE to be submitted to the Directorate within 1-month of issuance of new.

5. Issuance of Dangerous Cargo Endorsements to holders of COE:

- 5.1. DCE can be issued to Indian COE holders in accordance with procedures for issuance of DCE to Indian COC holders.
- 5.2. The DCE issued must carry a note stating, "This endorsement shall remain valid till the time COE/Acknowledgement of receipt of COE application, issued to the candidate remains valid.

6. Enforcement

- 6.1. Trainee deployed in compliance with this circular on a ship should be for the purpose of trainee to complete its training period or the period of deployment of COE holder, whichever is later. When trainee signs off for any reason prior to sign-off of the COE holder, another trainee to be placed until the trainee completes its training or period of deployment of COE holder, whichever is later.
- 6.2. The COE holder is not allowed to sail on vessels owned by Company other than the one which has given the undertaking stated in Para 3.2.2; same may be verified during Flag state Inspection.
- 6.3. The COE of the seafarer will be cancelled and the seafarer will not be considered for issuance of any COE in future, if he is found sailing on a ship owned by a Company other than the one which has given the undertaking stated in Para 3.2.2.
- 6.4. At each sign-off, the Company is required to give to the COE holder, on its letterhead, details of trainee employed additional to its Tonnage tax commitment during the period of deployment of COE holder. These letters have to be submitted to concerned MMD for any extension/renewal of COE.
- 6.5. In case, the Company {which has given the undertaking as per 3.2.2} do not intend to employ the COE holder again and the COE is still valid; same to be informed by the Company to concerned MMD and the Directorate. The COE holder is required to deposit his COE to concerned MMD. Each MMD is required to maintain a record of such returned COE.

7. Note:

The issuance of certificate of Endorsement is a one-off measure granted to the Indian Seafarers stated in Paragraph 2 above. It does not give any right to an Indian Seafarers with foreign COC or an Indian Flag Ship-owner/Manager to request for issuance of COE beyond 31 December 2021.

This Circular is issued with the approval of the Competent Authority.



(Vikrant Rai)

Engineer & Ship Surveyor-
cum-DDG (Tech)

To:

1. The Principal Officer Mercantile Marine Department, Mumbai / Chennai / Kolkata / Kochi/Kandla.
2. The surveyor-In-charge, Mercantile Marine Department, Noida/ Visakhapatnam.
3. INSA / FOSMA/ MASSA / ICSSA/ MUI / IMEI.
4. AD (OL) Hindi Cell, with a request to translate this circular in Hindi &upload on DGS website.
5. Engineering Branch/Nautical Branch/Naval Architecture Branch/Training Branch.
- 6 The computer cell, DGS, GOI with a request to upload this circular on the official website.
7. E-governance Cell, DGS, GOI
8. Sr. PS to DG(S) for information.
9. Sr. PS to CS/NA for information.

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ANNEXURE-I to NT ENG Circular No.04 of 2019

INDIAN MARITIME LEGISLATION COURSE FOR MANAGEMENT LEVEL OFFICERS HOLDING
CERTIFICATE OF COMPETENCY FROM AUSTRALIA, SINGAPORE, IRELAND & NEW ZELAND IN
ADDITION TO UK

No.	Syllabus	Hours
(a)	Registration of Ships. The certificate of registry and its legal significance	2 Hours.
(b)	Engagement, discharge and management of crew. Manning scales and certification. Contracts of employment, wages and other remuneration advance, allotments, payment into bank accounts. Decryptions, deceased seamen, engagement of substitutes, repatriation.	3 Hours.
(c)	The official log book and the law relating to entries. Offences relating to misconduct, to endangering ship and against persons on board. Discipline and treatment of disciplinary offences. Trade disputes involving seamen.	2 Hours.
(d)	The official log book entries and reports in respect of free board draft and allowances.	1 Hour.
(e)	Crew accommodation. Hygiene of the ship and welfare of the crew. All outline knowledge of the regulations relating to medical stores. Inspection and reports. Fresh water and provisions. Procedures in cases of infectious disease, illness or accidents. Maritime declarations of health. Port health requirements. International agreements and measures to prevent the spread of disease by shipping.	2 Hours.
(f)	The safety of the ship, crew and passengers. Assistance to vessels in distress and salvage. Master's duties in the case of collision or any other accident. Lodging protects etc. Inquires and Investigation.	4 Hours.
(g)	The law relating to the reporting of ice, derelict tropical revolving storms and other dangers to navigation.	1 Hour.
(h)	Amendments to Merchant Shipping Act, 1958 (44 of 1958).	2 Hours.
(i)	To have outline knowledge of the rules made under the Merchant Shipping Act.	1 Hour.
	Total	18 Hours



ANNEXURE-II to NT ENG Circular No.04 of 2019

**DIRECTORATE GENERAL OF SHIPPING,
Ministry of Shipping, Government of India
International Convention on Standards of Training, Certification and Watch
keeping Seafarers, 1978 as amended, Regulation I/10
Acknowledgement of application for Endorsement Attesting the Recognition of a
Certificate
(Other than Radio Officer / Radio operator)**

Date:

Place:

Reference No:

Receipt of an application for endorsement attesting recognition of certificate issued in
favour of _____ of _____

Passport / CDC NO. No. _____ holding

Certificate of Competency No. _____ issued by _____ on

Date _____ is hereby by acknowledged.

Capacity _____

Limitations (if any) _____

This acknowledgement is not in any way evidence of Certificate of Competency and is
subject to issue of Endorsement by this Administration as required by STCW 78 as
amended provisions. This receipt of application for endorsement may however be used
for a maximum of three months from the date of this receipt as proof of having applied
for the said endorsement which is in the process of being issued as per prescribed
procedure and assessment of applicant.

PRINCIPAL OFFICER

MMD Mumbai/Chennai/Kandla/Kochi/Kolkata